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Short communication

Effect of CO₂ on layered $Li_{1+z}Ni_{1-x-y}Co_xM_yO_2$ (M = Al, Mn) cathode materials for lithium ion batteries

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Abstract

We investigated the effect of CO₂ on layered $Li_{1+z}Ni_{1-x-y}Co_xM_yO_2$ (M = Al, Mn) cathode materials for lithium ion batteries which were prepared by solid-state reactions. $Li_{1+z}Ni_{(1-x)/2}Co_xMn_{(1-x)/2}O_2$ (Ni/Mn mole ratio = 1) singularly exhibited high storage stability. On the other hand, $Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O_2$ samples were very unstable due to CO₂ absorption. XPS and XRD measurements showed the reduction of Ni³⁺ to Ni²⁺ and the formation of Li₂CO₃ for Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O₂ samples after CO₂ exposure. SEM images also indicated that the surfaces of CO₂-treated samples were covered with passivation films, which may contain Li_2CO_3 . The relationship between CO₂-exposure time and CO₃²⁻ content suggests that there are two steps in the carbonation reactions; the first step occurs with the excess Li components, Li_2O for example, and the second with LiNi_{0.80}CO_{0.15}Al_{0.05}O₂ itself. It is well consistent with the fact that the discharge capacity was not decreased and the capacity retention was improved until the excess lithium is consumed and then fast deterioration occurred.

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1. Introduction

Co- and Al-doped layered LiNi_{1-x-y}Co_xAl_yO₂ has been used as cathode materials in lithium ion batteries. This material is relatively low in cost and excellent in specific capacity and cycle life. However, its surface is strongly basic compared to LiCoO₂. It is closely related to the chemical instability of LiNiO₂ after contacting with CO₂ and H₂O in air. Matsumoto et al. [1] reported that almost 8% of Li was converted to Li₂CO₃ on the surface of LiNi_{0.81}Al_{0.03}Co_{0.16}O₂ after exposure to air for 500 h even at room temperature. Moshtev et al. [2] also investigated the reaction of LiNiO₂ with H₂O and indicated that LiNiO₂ can be chemically delithiated in H₂O without electron exchange to form LiOH. Recently, Liu et al. [3] studied the origin of deterioration of LiNiO₂ cathode material during storage in air. They proposed a surface reaction mechanism based on the reduction of Ni and

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the evolution of active oxygen species. Yet enough examination has not been carried out for the other $LiNiO_2$ -based cathode with the different composition. In addition, the CO_2 effect on the electrochemical performance of $LiNiO_2$ -based cathode material is not clear.

In this study, we investigated the effect of CO₂ on Li_{1+z} Ni_{1-x-y}Co_xM_yO₂ (M = Al, Mn) cathode materials using chemical analysis, X-ray photoelectron spectroscopy (XPS), X-ray diffraction (XRD), and scanning electron microscopy (SEM). A surface reaction mechanism was proposed to interpret the chemical instability of Li_{1+z}Ni_{1-x-y}Co_xM_yO₂ during storage in air. In this formula, *z* means the excess lithium content. In addition, we also discussed whether the excess lithium exists in the crystal or out of the crystal (on the surface).

2. Experimental

Cathode materials in Table 1 were prepared by solid-state reactions with stoichiometric mixing of $Ni(OH)_2$, $Co(OH)_2$, Mn_3O_4 , AlOOH and LiOH, followed by calcination for 10 h.

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Table I	
Sample	list

Sample	$Li_{1+z}Ni_{1-x-y}Co_xM_yO_2$	x	у	Z	Calcination temperature (°C)	Under
1a	Li _{1.02} Ni _{0.33} Co _{0.33} Mn _{0.33} O ₂	0.33	0.33	0.02	950	Air
lb	Li _{0.14} Ni _{0.33} Co _{0.33} Mn _{0.33} O ₂	0.33	0.33	0.14	950	Air
2	Li _{1.00} Ni _{0.50} Co _{0.20} Mn _{0.30} O ₂	0.20	0.30	0.00	950	Air
3a	Li _{1.040} Ni _{0.80} Co _{0.15} Al _{0.05} O ₂	0.15	0.05	0.040	740	O_2
3b	$Li_{1.074}Ni_{0.80}Co_{0.15}Al_{0.05}O_2$	0.15	0.05	0.074	740	O ₂

The storage stability on air and CO₂ exposure was monitored by CO₃²⁻ content and electrochemical performance. Air-exposure tests were carried out at 30 °C and 80% RH (relative humidity) for 1 month. CO₂-exposure tests were performed for samples 3a and 3b (Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O₂, z=0.05, 0.10) in 100%-CO₂ or 5%-CO₂ atmosphere at 400 or 200 °C for 1, 2, 6, 12 and 24 h. CO₃²⁻ content was measured by ion chromatography (Dionex DX-120 with Dionex IonPac ICE-AS1 column).

Electrochemical tests were carried out using the 2032 cointype cells. Each cathode consisted of 75 wt.% of the active material, 20 wt.% of acetylene black, and 5 wt.% of PTFE. Lithium metal or graphite and 1 M LiPF₆ EC/DEC (3:7, v/v) were used as the negative electrode and the electrolyte, respectively. The discharge capacity was examined at a current density of 0.2 mA cm⁻² between 3.2 and 4.2 V versus Li/Li⁺ at 25 °C. The rate capability before and after the exposure test was monitored as the ratio of the discharge capacities at current densities of 11 and 0.2 mA cm⁻² between 3.0 and 4.2 V versus Li/Li⁺ at 25 °C. Charge–discharge cycling tests were carried out galvanostatically at an apparent current density of 1 C in the voltage range between 3.0 and 4.1 V versus carbon at 60 °C.

Powder X-ray diffraction (XRD) was carried out to determine the crystal structure by PANalytical PW1700 with Cu K α radiation. X-ray photoelectron spectra (XPS) were measured to elucidate the change of Ni valence on the surface by Physical Electronics ESCA-5500MC with Al K α radiation. SEM images were obtained to clarify the morphology by Hitachi S-4500.

3. Results and discussion

3.1. Storage stability of $Li_{1+z}Ni_{1-x-y}Co_xM_yO_2$

Generally, it is well known that $\text{LiNi}_{1-x}M_xO_2$ cathode materials are not stable upon storage due to their chemical activeness, such as CO₂ absorption. It is undesirable because it is difficult to handle such materials without deterioration. Therefore, it is important to clarify what is the origin of the storage instability for $\text{Li}_{1+z}\text{Ni}_{1-x-y}\text{Co}_x\text{M}_y\text{O}_2$. In order to examine the storage stability of $\text{Li}_{1+z}\text{Ni}_{1-x-y}\text{Co}_x\text{M}_y\text{O}_2$, we investigated the change of CO_3^{2-} content and electrochemical rate performance before and after the air-exposure test.

Fig. 1 shows the CO_3^{2-} content before and after the exposure test for $Li_{1+z}Ni_{1-x-y}Co_xM_yO_2$. The most remarkable point in Fig. 1 is that $Li_{1+z}Ni_{(1-x)/2}Co_xMn_{(1-x)/2}O_2$ (Ni/Mn mole ratio = 1) samples (1a, 1b) exhibited very low CO₂ contents. On the other hand, $Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O_2$ (3a and 3b) con-



Fig. 1. CO_3^{2-} content before and after the exposure test for $Li_{1+z}Ni_{1-x-y}Co_x M_yO_2$.

tain more CO₂ than others. From these results, it is clear that $Li_{1+z}Ni_{(1-x)/2}Co_xMn_{(1-x)/2}O_2$ series singularly exhibit high storage stability in $Li_{1+z}Ni_{1-x-y}Co_xM_yO_2$ cathode materials. Fig. 2 shows the rate capability before and after the exposure test. The rate capability deteriorated as the CO₃²⁻ content increased in Fig. 1.

From these results, it is clear that the rate capability decreases as the CO_3^{2-} content increases and therefore the electrochem-



Fig. 2. Rate capability before and after the exposure test for $Li_{1+z}Ni_{1-x-y}$ $Co_xM_yO_2.$



Fig. 3. Ni $2p_{3/2}$ XPS of Li_{1+y}Ni_{0.80}Co_{0.15}Al_{0.05}O₂ before and after CO₂ 100%-CO₂ exposure at 200 °C for 1 h.

ical performance of sample 3a suffers severe deterioration by CO_2 in air.

3.2. XPS of $Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O_2$ before and after CO_2 treatment

It is interesting that the CO₂ absorptivity is very high for Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O₂, and very low for Li_{1+z}Ni_{(1-x)/2}Co_xMn_{(1-x)/2}O₂. We expected that it should be caused by difference of Ni valence in Li_{1+z}Ni_{1-x-y}Co_xM_yO₂. The oxidation states of Ni, Co and Mn are 2+, 3+, and 4+, respectively, in LiNi_{(1-x)/2}Co_xMn_{(1-x)/2}O₂ series [4–8]. It is supposed that unstable Ni³⁺ is responsible for CO₂ absorption.

Fig. 3 shows the Ni $2p_{3/2}$ XPS of Li_{1+y}Ni_{0.80}Co_{0.15}Al_{0.05}O₂ before and after CO₂ exposure (in 100%-CO₂ at 200 °C for 1 h). While the main peak of the fresh sample is at 855.0 eV, that of the CO₂-treated sample has a shoulder at 854.5 eV, which shows that more Ni²⁺ exists on the surface. It indicates that the reduction of Ni³⁺ to Ni²⁺ occurred by the reaction with CO₂.

3.3. XRD of $Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O_2$ before and after CO_2 treatment

In order to examine the reaction with CO₂, XRD measurements were carried out. Fig. 4 shows the XRD patterns for $Li_{1.040}Ni_{0.80}Co_{0.15}Al_{0.05}O_2$ before and after CO₂ exposure (in



Fig. 4. XRD patterns for $Li_{1.040}Ni_{0.80}Co_{0.15}Al_{0.05}O_2$ before and after 100%-CO₂ exposure at 400 $^\circ C.$

100%-CO₂ at 400 °C for 1–24 h). Li₂CO₃ is formed when the CO_3^{2-} content is more than ca. 3 wt.% and increases with the CO_3^{2-} content as indicated by arrows in Fig. 4.

3.4. SEM of $Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O_2$ before and after CO_2 treatment

Fig. 5 shows the SEM images of the surface and CO₂treated samples with CO₂ at 400 °C. The difference is obvious; while the fresh sample looks very smooth, those of the CO₂treated samples are covered with passivation films, which may be Li₂CO₃. The covered area increases gradually as the exposure time increases.

3.5. CO_3^{2-} content of $Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O_2$ before and after CO_2 treatment

In order to examine how the carbonation reaction progresses, we investigated the relationship between CO_2 -exposure time and CO_3^{2-} content for $Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O_2$ cathode materials.

Fig. 6-(1) shows the relationship between 100%-CO₂ exposure time and CO_3^{2-} content at 400 °C for Li_{1.040}Ni_{0.80}Co_{0.15} Al_{0.05}O₂. The present condition yielded 1.35 wt.% (1 h) to 3.69 wt.% (24 h) of CO_3^{2-} . In the case of Li_{1+z}Ni_{0.80}Co_{0.15} Al_{0.05}O₂, the excess 0.040Li in the fresh sample presumably exists as 0.020Li₂O:

$$Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O_{2}$$

= LiNi_{0.80}Co_{0.15}Al_{0.05}O_{2} + $\frac{z}{2}Li_{2}O$ (1)

It is generally difficult to distinguish the excess lithium in the crystal from that on the surface. For $\text{Li}_{1+z}\text{Ni}_{1-x-y}\text{Co}_x\text{Mn}_y\text{O}_2$ materials, we consider that the most of the excess lithium is incorporated into the crystal, because the lattice parameter linearly changes as the excess lithium content changes [9]. On the other hand, we expect that the excess lithium is not in the crystal but on the surface for $\text{Li}_{1+z}\text{Ni}_{1-x-y}\text{Co}_x\text{Al}_y\text{O}_2$ materials, because the lattice parameter is almost the same (a = 2.862 Å, c = 14.17 Å for both of the samples 3a (z = 0.040) and 3b (z = 0.074)). Strictly speaking, the component of excess lithium should be described separately as in the right side of Eq. (1). However, for the sake of convenience, in this paper we consistently describe it as in the left side. And the following reaction may occur between $\text{Li}_{1+z}\text{Ni}_{0.80}\text{Co}_{0.15}\text{Al}_{0.05}\text{O}_2$ and CO₂:

$$LiNi_{0.80}Co_{0.15}Al_{0.05}O_{2} + \frac{z}{2}Li_{2}O + \frac{a}{2}CO_{2}$$

$$\rightarrow \frac{a}{2}Li_{2}CO_{3} + \frac{z-a'}{2}Li_{2}O$$

$$+ Li_{1-a''}Ni_{0.80}Co_{0.15}Al_{0.05}O_{2-a''} + \frac{a''}{4}O_{2}$$
(2)

where a' + a'' = a, $0 \le a' \le z$, $0 \le a'' \le 1$.

Formula (2) means that there are two kinds of Li in $Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O_2$ that react with CO_2 : one is the excess *zLi* on the surface of the active material, and the other is the Li in the active material itself. The extracted lithium content



Fig. 5. SEM images for $Li_{1.040}Ni_{0.80}Co_{0.15}Al_{0.05}O_2$ before and after 100%-CO₂ exposure at 400 °C.

a in Eq. (2) was calculated from the CO_3^{2-} content obtained by chemical analysis. To convert Li delithiation content from Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O₂, the following equation was used:

$$a = \frac{2cM_{\rm w}}{m_{\rm w}(100-c)}\tag{3}$$

where *c* is the CO_3^{2-} content (wt.%), and M_w and m_w is the molecular weights (g mol⁻¹) of Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O₂ and CO_3^{2-} ion, respectively. Table 2 shows the *c* and *a* values as functions of the 100%-CO₂ exposure time for sample 3a.

Fig. 6-(2) shows the relationship between 5%-CO₂ exposure time and CO₃²⁻ content for Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O₂ (z = 0.040, 0.074) at 400 °C. The CO₃²⁻ content increased from 0.78 wt.% (1 h) to 1.39 wt.% (24 h) for sample 3a and from

Table 2

 CO_3^{2-} content *c* (wt.%) and extracted Li content *a* value as functions of the CO_2 exposure time for samples 3a and 3b

Sample	CO ₂		CO ₂ -ex					
		gas (%)		0	1	2	6	12
3a	100	с а	0.25 0.008	1.35 0.044	1.69 0.055	2.30 0.076	2.99 0.099	3.69 0.123
3 <i>a</i>	5	с а	0.25 0.008	0.78 0.025	0.89 0.029	1.23 0.040	1.34 0.044	1.39 0.045
3b	5	с а	0.26 0.008	1.63 0.053	1.77 0.058	1.82 0.060	2.19 0.072	2.48 0.082

 $M_{\rm w} = 96.36 \text{ g mol}^{-1}$ (sample 3a), 96.60 g mol^{-1} (sample 3b), $m_{\rm w} = 60.01 \text{ g mol}^{-1}$.



Fig. 6. (1) Relationship between 100%-CO₂ gas exposure time and CO₃²⁻ content at 400 °C for Li_{1.040}Ni_{0.80}Co_{0.15}Al_{0.05}O₂; (2) relationship between 5%-CO₂ gas exposure time and CO₃²⁻ content for Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O₂ (z=0.040, 0.074).

1.63 wt.% (1 h) to 2.48 wt.% (24 h) for sample 3b. The *c* and *a* values are also shown in Table 2 for the 5%-CO₂ exposure of samples 3a and 3b.

These results show that the carbonation behavior is different between 100%-CO₂ and 5%-CO₂ exposure. In the case of 100%-CO₂, CO₃²⁻ content increases monotonously with the exposure time. On the other hand, in the case of 5%-CO₂, it is nearly saturated at around 1.5 wt.% (sample 3a) and 2.5 wt.% (sample 3b). These results indicate that the carbonation reaction has two steps. First, the excess lithium on the surface, *z*Li in $Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O_2$, reacts with CO₂:

$$\frac{z}{2}\text{Li}_2\text{O} + \text{CO}_2 \rightarrow \frac{z}{2}\text{Li}_2\text{CO}_3 \tag{4}$$

After all surface lithium ions are consumed, the second slow reaction starts:

$$\operatorname{Li}(\operatorname{Ni}_{0.80}\operatorname{Co}_{0.15}\operatorname{Al}_{0.05})\operatorname{O}_{2} + \frac{a''}{2}\operatorname{CO}_{2}$$

$$\rightarrow \frac{a''}{2}\operatorname{Li}_{2}\operatorname{CO}_{3} + \operatorname{Li}_{1-a''}(\operatorname{Ni}_{0.80}\operatorname{Co}_{0.15}\operatorname{Al}_{0.05})\operatorname{O}_{2-a''} + \frac{a''}{4}\operatorname{O}_{2}$$
(5)



Fig. 7. Relationship between extracted lithium content a and discharge capacity for $Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O_2$.

The latter reaction is supposed to be too slow to occur in the 5%-CO₂ atmosphere.

3.6. Cell performance

Fig. 7 shows the relationship between the extracted lithium content *a* and the discharge capacity for $Li_{1+z}Ni_{0.80}$ $Co_{0.15}Al_{0.05}O_2$. This result indicates the discharge capacity is not decreased until the excess lithium content, *zLi* in $Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O_2$, is consumed by the carbonation, and it deteriorates rapidly as consuming more lithium beyond *zLi* in $Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O_2$.

Fig. 8 shows that the relationship between *a* and the capacity retention after the 100th cycle. This result indicates that the capacity retention is maintained, or even improved, until the excess lithium is consumed, and again deteriorated rapidly after that.

These results suggest that the second reaction between CO_2 and lithium inside the active material causes the deterioration of



Fig. 8. Relationship between extracted lithium content *a* and capacity retention after the 100th cycle for $Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O_2$.

the electrochemical performances. In order to avoid deterioration of the electrochemical performances, it is necessary to limit the carbonation reaction only to the excess lithium *zLi* on surface in $Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O_2$. Carbonation of more lithium may cause loss of efficient lithium and structural disorder of the crystal.

4. Conclusion

In this study, we investigated the effect of CO₂ on $\text{Li}_{1+z}\text{Ni}_{1-x-y}\text{Co}_x\text{M}_y\text{O}_2$ (M = Al, Mn) cathode materials. In the exposure tests, CO₂ absorptivity increased as the ratio of $\text{Ni}^{3+}/\text{Ni}^{2+}$ increased. Especially, $\text{Li}_{1+z}\text{Ni}_{0.80}\text{Co}_{0.15}\text{Al}_{0.05}\text{O}_2$ that consist of almost 100%-Ni³⁺ rapidly absorbed CO₂ and the electrochemical performance suffered severe deterioration after the exposure test. Reduction of Ni³⁺ to Ni²⁺ was observed in the Ni 2p_{3/2} XPS after reacting with CO₂. The relationship between CO₂ exposure time and CO₃²⁻ content for $\text{Li}_{1+z}\text{Ni}_{0.80}\text{Co}_{0.15}\text{Al}_{0.05}\text{O}_2$ indicated that the first carbonation reaction occurs with excess Li components on the surface and the second with bulk LiNi_{0.80}Co_{0.15}Al_{0.05}O₂.

The relationship between the extracted lithium content and the electrochemical performance revealed that the discharge capacity was kept and the capacity retention was improved until the excess lithium is consumed, which is followed by rapid deterioration of $Li_{1+z}Ni_{0.80}Co_{0.15}Al_{0.05}O_2$.

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